**OIPE** FEB 17 2004

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

| | | |
|---|---|---|
| | **Complete if Known** | |
| Application Number | 10/699,561 |
| Filing Date | October 30, 2003 |
| First Named Inventor | Rao, Arun |
| Art Unit | 2621 |
| Examiner Name | Amir Alavi |

| Sheet | 1 | of | 2 | Attorney Docket Number | 021751-001310US |
|---|---|---|---|---|---|

## U.S. PATENT DOCUMENTS+

| Examiner Initials* | Cite No.[1] | Document Number<br>Number Kind Code[2] *(if known)* | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|---|---|---|---|---|---|
| A.A. | 1 | US-5,754,184 | 05/1998 | Ring et al. | |
| | 2 | US-5,537,157 | 07/1996 | Washino et al. | |
| | 3 | US-5,528,339 | 06/1996 | Buhr et al. | |
| | 4 | US-5,234,414 | 09/1993 | Dairymple et al. | |
| | 5 | US-5,272,518 | 12/1993 | Vincent | |
| | 6 | US-5,206,918 | 04/1993 | Levene | |
| | 7 | US4,979,032- | 12/1990 | Alessi et al. | |

## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No.[1] | Foreign Patent Document | | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T[6] |
|---|---|---|---|---|---|---|---|---|
| | | Country Code[3] | Number[4] | Kind Code[5] *(if known)* | | | | |
| A.A. | 8 | EP | 0 637 731 | A1 | 02/1995 | Europe | | ☐ |
| | 9 | WO | 95/31794 | | 11/1995 | PCT | | ☐ |
| | 10 | EP | 0 814 603 | a2 | 12/1997 | Europe | | ☐ |

| Examiner Signature | | Date Considered | 8-26-2004 |
|---|---|---|---|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. [1] Applicant's unique citation designation number (optional). [2] Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. [3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. [6] Applicant is to place a check mark here if English language Translation is attached.

60133427 v1

Substitute for form 1449B/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

| Sheet | 2 | of | 2 |

| **Complete if Known** | |
|---|---|
| Application Number | 10/699,561 |
| Filing Date | October 30, 2003 |
| First Named Inventor | Rao, Arun |
| Art Unit | 2621 |
| Examiner Name | Amir Alavi |
| Attorney Docket Number | 021751-001310US |

| | **NON PATENT LITERATURE DOCUMENTS** | | |
|---|---|---|---|
| Examiner Initials * | Cite No.[1] | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T [2] |
| AA. | 11 | Foley, et al.; "Achromatic and Colored Light," *Computer Graphics: Principles and Practice;* Second Edition, Chapter 13, (1990) pp. 563-604. | |
| | 12 | Gennetten, "RGB to CMYK Conversion Using 3-D Barycentric Interpolation," SPIE Conference on "Device-Independent Color Imaging and Imaging Systems Integration," (1-3 February 1993) San Jose, California, Vol. 1909, pp. 116-126. | |
| | 13 | Gentile, et al.; "A Comparison of Techniques for Color Gamut Mismatch Compensation"; *Journal of Imaging Technology;* (October 1990), Vol. 16, No. 5; pp. 176-181. | |
| | 14 | Granger, "Achieving Device Independence in Color Through Appearance Modeling," SPIE Conference on "Device-Independent Color Imaging and Imaging systems Integration," (1-3 February 1993) San Jose, California; Vol. 1909; pp. 15-18. | |
| | 15 | Guth, "Model for Color Vision and Light Adaptation," *Journal of the Optical Society of America;* Vol. 8, No. 6 (June 1991) pp. 976-993. | |
| | 16 | Has, et al.; "Color Management: Current Practice and the Adoption of a New Standard," *Advances in Printing Science and Technology,* Vol. 23, pp. 51-58, (1997). (Conference proceedings of the International Association of Research Institutes for the Graphic Arts Industry, held in Paris, September 1995.) | |
| | 17 | Has, et al.; "Current Practice and the Adoption of a New Standard," Proceedings of the Commission Internationale de l'Eclairage (CIE), Expert Symposium on Colour Standards for Image Technology; (held in Vienna, March 1996) Wien, CIE, (1998). | |
| | 18 | Has, "Color Management - Current Approaches, Standards and Future Perspectives"; Proceedings of IS&T's Eleventh International Congress on Advances in Non-Impact Printing Technologies, Hilton Head, South Carolina; pp. 441-445. | |
| | 18 | Hunt, "Model of Color Vision for Predicting the Appearance of Colors Under Different Viewing Conditions"; SPIE Conference on "Device-Independent Color Imaging and Imaging systems Integration;" (1-3 February 1993) San Jose, California; Vol. 1909, pp. 12-14 | |
| | 19 | Jin-Seo et al., "Development of Color Management System Prototype," *IEEE* (1998) pp. 2539-2532. | |
| | 20 | MacDonald, "Developments in Colour Management Systems," *Displays,* Vol. 16, No. 4, (1996) pp. 203-211. | |
| | 21 | Murch, et al.; "Apple Color Management System (ColorSync™); SPIE Conference on "Device-Independent Color Imaging and Imaging systems Integration;" (1-3 February 1993) San Jose, California; Vol. 1909, pp. 184-188. | |
| | 22 | PCT Search Report in Corresponding PCT Application PCT/US99/23981. | |
| | 23 | Ramamurthy et al., "Achieving Color Match Between Scanner, Monitor, and Film: A Color Management Implementation for Feature Animation,"*SMPTE Journal,* (June 1999) pp. 363-373. | |

60133427 v1

| Examiner Signature | | Date Considered | 8-26-2004 |

60133427 v1